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ATTORNEY DOCKET 728-239 YOR9-2003-0452-US1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sweefen Goh et al

Serial No.:   10/699,578   Group Art Unit: 3629

Filed: October 31, 2003   Date: June 29, 2004

For: **SELF-MUTATING BUSINESS PROCESS IN A DISCONNECTED, DYNAMIC COMPUTING ENVIRONMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed on the attached form PTO-1449 is brought to the attention of the Examiner. A copy of the listed references are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to a substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATION UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: June 29, 2004

Janelle O. Zul

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

[ ] This information disclosure statement is being filed within three (3) months of the filing date of this application.

[ ] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.

[x] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

[ ] Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e)(1).

[ ] Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).

[ ] Enclosed by check is the petition fee of $130.00. 37 C.F.R. § 1.17(i)(1))

[ ] Please charge the $130.00 petition fee to Deposit Account No. 05-0510.

[ ] Enclosed by check is the $180.00 fee required by 37 C.F.R. § 1.17(p).

[ ] Please charge the $180.00 fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. 05-0510.

[X]   Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account **05-0510**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **05-0510** therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Early and favorable consideration of the case is respectfully requested.
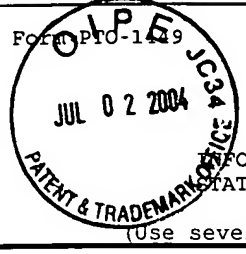
Respectfully submitted,

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 728-239 (YOR920030452US1)	SERIAL NO. 10/699,578
JUL 0 2 2004		APPLICANTS Sweefen Goh et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FILING DATE October 31, 2003	GROUP ART UNIT 3629

			OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)
		1.	Durlacher Research Ltd. "Mobile Commerce Report", February, 2000
		2.	J. Sairamesh et al., "A Platform for Sell-side private exchanges", IBM Systems Journal, May 2002 (Sairamesh 1),
		3.	Margaret H. Dunham et al., " A Mobile Transaction ModelThat Captures Both the Data and Movement Behavior," MONET 2(2): 149-162 (1997).
		4.	J. Sairamesh et al., "Wireless B2B Trading", proceedings of the First ACM Workshop on Mobile Commerce, (conjunction with Mobicom, 2001) (Sairamesh 2)
		5.	Dhiraj K. Pradhan et al., "Recoverable Mobile Environment: Design and Trade-Off Analysis, " International Symposium on Fault-Tolerant Computing (FTCS) 1996: 16-25
		6.	A. Tsalgatidou et al., "Challenges in Mobile Electronic Commerce", proceedings of the IeC 2000, 3rd Int. Conf. On Innovation through E-commerce. Manchester, UK, Nov. 14th16th, 2000.
		7.	Peter Muth et al., "From Centralized Workflow Specification to Distributed Workflow Execution," Journal of Intelligent Information Systems, 10(2): 159-184 (1998).
		8.	D. Georgakopoulos et al., "An Overview of Workflow Management: From Process Modeling to Workflow Automation Infrastructure", Journal of Parallel and Distributed Systems, 1995.
		9.	G. Alonso et al., "Exotica/FMDC: A Workflow Management System for Mobile and Disconnected Clients," Journal of Distributed and Parallel Databases, 1996.
		10.	A. Jhingran, "Moving up the food chain: Supporting E-Commerce Applications on Databases," SIGMOD Record 29(4): 50-54 (2000).
		11.	Douglas B. Terry et al., "Managing Update Conflicts in Bayou, a Weakly Connected Replicated Storage System, "Symposium on Operating Systems Principles (SOSP) 1995: 172-183.
		12.	Brian Noble et al.,"Agile Application-Aware Adaptation for Mobility," Symposium on Operating Systems Principles (SOSP) 1997: 276-287.
		13.	Prabhu Ram et al., "Distributed Transactions in Practice," SIGMOD Record, 1999.

	DATE CONSIDERED	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])